

1. The first step is to identify the problem or goal. This involves understanding the current situation and what needs to be achieved.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L. L. P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6800

2/Pat A

PATENT
Atty. Dkt. No.: 7451.0001-15
InterTrust Ref No.: IT 5.0.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Prior Application: 09/328,671

Filed: June 9, 1999

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT
AND ELECTRONIC RIGHTS PROTECTION

Group Art Unit: 2767

Examiner: G. Barron, Jr.

SIR:

This is a request for filing a ☒ Continuation ☐ Divisional Application under 37 C.F.R. §1.53(d) of pending prior application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, which has since issued as U.S. Patent No. 5,982,891, which is a continuation of parent application Serial No. 08/388,107 filed February 13, 1995, now abandoned.

1. ☒ Enclosed is a complete copy of the prior application as originally filed including the drawings. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/328,671, as originally filed on June 9, 1999.
2. ☐ Enclosed is a ~~substitute~~ specification under 37 C.F.R. §1.125.
3. ☒ Cancel Claims 2-90.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

10/03/00
JC685 U.S. PTO

JC914 U.S. PTO
09/678252
10/03/00

09/07/00 100300

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FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
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PALO ALTO, CALIF. 94304
650-849-8600

For	Number Filed	Number	Extra	Rate	Basic Fee	\$ 710.00
Total Claims	23	-20=	3	x\$18.00=		\$ 54.00
Independent Claims	7	-3 =	4	x\$80.00=		\$ 320.00
Multiple Dependent Claim(s) (if applicable)				\$260.00=		
Total						
Reduction by 2 for filing by small entity						
TOTAL FILING FEE						\$1,084.00

6. ☒ A check in the amount of \$1,084.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. §1.16 and any other fees due under 37 C.F.R. §1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:

U.S. Patent No. 6,389,402 B1,

--This application is a continuation of copending application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, now U.S. Patent No. 5,982,891, which is a continuation of patent application Serial No. 08/388,107 filed February 13, 1995, now abandoned -- all of which are incorporated herein by reference.

9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. _____, filed on _____ in _____ (country) is claimed under 35 U.S.C. §119. A certified copy
☐ is enclosed or ☐ is on file in the prior application.
12. ☐ A verified statement claiming small entity status
☐ is enclosed or ☐ is on file in the prior application.
13. ☒ Applicants also enclosed a copy of the Declaration and Power of Attorney filed in the parent application.

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